

Patent Application No. 09/974,768 Attorney's Docket No. 033053-040

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re P | atent Application of |) | |
|-----------------------------|---|-----|----------------------|
| Kenneth C. Cundy, et al. | |) | Group Art Unit: 1614 |
| Application No.: 09/974,768 | |) | Examiner: Unassigned |
| Filed: | October 9, 2001 |) | |
| For: | Bile-Acid Conjugates for Providing Sustained Systemic Concentrations of Drugs |)) | |

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited below is enclosed.

U.S. Patents

| Patent No. | Issue Date | Inventor(s) |
|------------|------------|----------------|
| 5,352,682 | 10/4/94 | Sipos |
| 5,462, 933 | 10/31/95 | Kramer, et al. |
| 5,541,348 | 7/30/96 | Arya et al. |
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FEB 1 3 2002 Group Art Unit: 1614 TEUR CENTER 1600/2900 Examiner: Unassigned

In re Patent Application of Kenneth C. Cundy, et al. Application No.: 09/974,768

Filed: October 9, 2001

For: Bile-Acid Conjugates for Providing Sustained Systemic Concentrations of

Drugs

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

No additional fee for submission of an IDS is required. [x]

[] The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.

A certification under 37 C.F.R. § 1.97(e) is also enclosed. []

[] A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.

Charge \$_____ to Deposit Account No. 02-4800 for the fee due. []

A check in the amount of \$_____ is enclosed for the fee due. []

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

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Date:

Registration No. 30,113

Foreign Patents

Patent No.

Country

Publication Date

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Europe

6/29/88

Articles:

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Information Disclosure Statement Application No. 09/974,768 Attorney's Docket No. <u>033053-040</u>

Page 4

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b). In the event that an Office Action is mailed prior to receipt of this paper, the Commissioner is hereby authorized to charge the requisite fees to Deposit Account No. 02-4800.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

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